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Belersdorf 758-HCL  
100718-382  
6713-St-bb**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : LANZENDÖRFER et al.  
SERIAL NO. : 10/025,065  
FILED : 19 December 2001  
FOR : O/W EMULSIONS CONTAINING ONE OR MORE AMMONIUM  
ACRYLOYLDIMETHYLAURATE/VINYLPYRROLIDONE  
COPOLYMERS  
ART UNIT : 1617  
EXAMINER : Shaojia A. Jiang, Ph.D.

6 October 2004  
Hon. Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

SIR:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicants respectfully request that the Examiner consider the references listed on the attached Form PTO-1449.

## 1. Timeliness, Fees and Certifications in lieu of Fees

- ☐ A. This information disclosure statement is being filed within three months of the filing date of the application, or within three months of entry into the national stage, or before the mailing of a first Office Action on the merits. Pursuant to 37 CFR § 1.97(b), consideration of this information disclosure statement does not require a fee or a statement under 37 CFR § 1.97(e). However, should the Assistant Commissioner determine that a fee is, in fact, due, the Assistant Commissioner is hereby authorized to charge the fee to Deposit Account No. 14-1263.
- ☐ B. This information disclosure statement is being filed after the period in A above, but before the mailing of either a final action or a notice of allowance. Pursuant to 37 CFR § 1.97(c), consideration of this information disclosure statement requires a fee or a statement under 37 CFR § 1.97(e):
- ☐ 1. The Assistant Commissioner is hereby authorized to charge the fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 14-1263.
- ☐ 2. Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

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- ☐ 3. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement.

☒ C. This information disclosure statement is being filed after the period specified in B above, but on or before the payment of the issue fee. Pursuant to 37 CFR § 1.97(d), consideration of this information disclosure statement requires a petition, which Applicants hereby request, and payment of the petition fee, which is set forth in 37 CFR § 1.17(l), and which the Assistant Commissioner is hereby authorized to charge to Deposit Account No. 14-1263. Consideration of this information disclosure statement also requires a statement under 37 CFR § 1.97(e):

- ☐ 1. Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ 2. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement.

## II. Copies of Listed References

- ☐ A. Copies of all references listed on the attached Form PTO-1449 are being supplied.
- ☐ B. Copies of all references listed on the attached Form PTO-1449 have already been supplied during the prosecution of prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, from which the present application claims priority pursuant to 35 USC § 120. Therefore, pursuant to 37 CFR § 1.98(d), copies of the references listed on the attached Form PTO-1449 are not now being supplied.
- ☐ C. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report, and PCT/DO/EO/903 indicates that both the international search report and the copies of the references listed on the attached Form PTO-1449 are in this national stage file. Therefore, copies of the references listed on the attached Form PTO-1449 are not now being supplied.

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### III. Concise Statement of Relevance

- ☒ A. All references listed on the attached Form PTO-1449 are in the English language, and, therefore, a concise statement of relevance is not required.
- ☐ B. A concise statement of the relevance of all references listed on the attached Form PTO-1449 that are *not in the English language*, is being provided on a separate sheet.
- ☐ C. All references listed on the attached Form PTO-1449 were cited in the search report issued by the \_\_\_\_\_ Patent Office, and an English-language version of that search report, which indicates the degree of relevance found by that Patent Office, is attached.
- ☐ D. This application is a PCT national stage application, all references listed on the attached Form PTO-1449 were cited in the international search report, and a copy of that search report, which indicates the degree of relevance found by the International Search Authority, is attached.
- ☐ E. All listed on the attached Form PTO 1449 were cited during the prosecution of the prior application indicated above under II.B.

### IV. Additional Information

- ☐ A. In addition to the references listed on the attached Form PTO-1449, Applicants wish to bring to the attention of the Examiner the following abandoned or co-pending U.S. patent applications:
- [Pursuant to 37 CFR § 1.98(a)(2)(iii), copies of these applications are not being submitted.]
- ☐ B. In addition of the references listed on the attached Form PTO-1449, Applicants wish to bring to the attention of the Examiner the information provided on the attached sheet.

Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,  
NORRIS MCLAUGHLIN & MARCUS, P.A.

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**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the foregoing documents are being facsimile transmitted to the United States Patent and Trademark Office on the date indicated below:

Date: 6 October 2004

By: Agata Gliniska  
Agata Gliniska

<b>LIST OF PATENTS AND PUBLICATIONS</b> <b>APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b> (Form PTO-1449)				Attorney Docket:		Serial No.	
				Beiersdorf 758/ 100718-362		10/025,065	
				Applicant:			
				Ghita LANZENDÖRFER et al.			
Filing Date:				Group:			
19 December 2001				To Be Assigned			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner's Initial		Document Number	Date	Name	Class	Sub Class	Filing Date If appropriate
	A1	5,690,919	11/1997	Rockl et al.	424	65	
	A2	6,489,395	12/2002	Loffler, Matthias	524	845	
	A3	6,495,123	12/2002	Faryniarz et al.	424	59	
	A4	6,620,420	09/2003	Lanzendorfer et al.	424	401	
	A5	6,645,476	11/2003	Morschhauser et al.	424	70.1	
	A6	6,660,252	12/2003	Matathia et al.	424	63	
	A7	2002/0058055	05/2002	Zecchino et al.	424	401	
	A8	2003/0118619	06/2003	Suares et al.	424	401	
	A8	2003/0118620	06/2003	Zhang et al.	424	401	
	A9	6,120,780	09/2000	Dupuis et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Sub Class	Translation Yes No
<b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
<b>EXAMINER:</b>				<b>DATE CONSIDERED:</b>			
• <b>EXAMINER:</b> Initial if Reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformation and not considered, include copy of this form with next communication to applicant.							